Appendix: Political Ambition and Opposition Legislative Review: Bill Scrutiny as an Intra-Party Signaling Device

Lion Behrens University of Mannheim Department of Political Science behrens@uni-mannheim.de Dominic Nyhuis University of Hannover Institute of Political Science d.nyhuis@ipw.uni-hannover.de

Thomas Gschwend University of Mannheim Department of Political Science gschwend@uni-mannheim.de

Contents

1	The Landtag Baden-Württemberg in Comparative Perspective	2
2	Associating different measures of political ambition	6
3	Descriptives on Political Careers of Members of Parliament	7
4	Investigating the nexus between policy- and vote-motivations and legislative re- view	8
	4.1 Policy motivations	8
	4.2 Vote motivations	8
5	The relationship between candidacies and legislative review: Probing the causal	
	mechanism	13

1 The Landtag Baden-Württemberg in Comparative Perspective

There are several advantages of studying the *Landtag Baden-Württemberg*. While the concept of political ambition easily travels across legislatures, as even politicians in national legislatures can strive for career advancement within their assemblies, a state-level parliament provides us with an excellent opportunity to measure political ambition as the potential for advancement is greater in state-level parliaments. As state-level politicians can strive for a national or a European career, we are likely to observe progressive ambition among MPs who hope for horizontal or vertical career advancement.

Three factors make our case particularly suitable. First, the Landtag Baden-Württemberg is one of the largest state-level legislatures in Germany, with comparatively high levels of professionalization and legislative capacity (Appeldorn and Fortunato 2022). This allows us take advantage of its state-level nature for measuring political ambition, while simultaneously studying a full-time professionalized parliament with similar proceedings as national legislatures. Second, the Landtag is subject to high fluctuations in governing coalitions in the time frame of the analysis, making horizontal promotions available to a wide range of MPs. In the three legislative terms, there were three different coalition governments between CDU and FDP (2006-2011), Greens and SPD (2011-2016), as well as between Greens and CDU (2016-2021). Except for the right-wing AfD, which entered the parliament for the first time in 2016, all parties have served in government and opposition at least once since 2006. Therefore, in addition to vertical career trajectories, there is considerable potential for horizontal career mobility. Third, the Landtag provides fine-grained data on MPs' behavior. In other parliaments, amendments are often signed by numerous legislators or even the entire party group, making it difficult to attribute amendments to specific MPs in order to measure their individual legislative behavior. In the Landtag, only few MPs work on amendments, with most proposals being signed by less than four (mean = 3.67) legislators. Hence the possibility of constructing measures of political ambition as well as individual amendments sets our case apart from other legislatures.

How does our case compare to other parliaments? Table A1 compares the *Landtag* (i) to all German state-level parliaments (ii) and to 18 Western European national assemblies across a range of relevant features. First, it is worth noting that like the *Landtag*, all German state-level parliaments with the exception of the city-states Hamburg and Bremen are full-time parliaments with high levels of professionalization and legislative capacity. Yet, professional subnational parliaments are by no means unique to Germany. In recent decades, scholars have noted that in many federal countries, "a state (or regional) mandate has [...] become a full-time, long-term, fully paid political job similar to that of national deputies at the federal level" (Borchert and Stolz 2011). A second trend is the regionalization of formerly unitary states like the UK, Spain or France which has weakened the differences between federal and unitary systems (Borchert and Stolz 2011; Capua et al. 2022). State-level parliaments in Germany and a variety of other countries are increasingly similar to national assemblies in terms of compensation, staff, session lengths, and legislative proceedings (see Bundi, Eberli and Bütikofer 2017; Capua et al. 2022; Borchert and Stolz 2011; Moncrief 1994).

The Landtag is elected using a single vote in a mixed member electoral system without party lists, where district winners receive their seats and the remaining seats are distributed among the best performing runner-ups. While this electoral system is rather unique, in the context of our research question this renders the Landtag closer to a least-likely case. The absence of party lists incentivizes ambitious MPs to focus their resources on legislative activities that are directly visible to the electorate such as speeches or parliamentary questions instead of technical amendments in closed committee meetings. Hence, we believe that evidence from the Landtag generalizes well to other list systems where the career of MPs depends even more on the party leadership.

The Landtag shares various institutional features with other European legislatures (Strøm 1995) which are relevant for the study of legislative review. First, the Landtag is characterized by a strong committee system, where standing committees shadow ministerial jurisdictions, and the party composition of the committee members is proportional to the plenary. Across the three legislative periods in our study, between ten and twelve standing committees were created per term and, as is common practice in Western European democracies, virtually every piece of legislation was reviewed by at least one committee. In a comparison of national European legislatures provided in Table A2, we show that national legislatures employ between six (France, Greece) and 29 (Netherlands) legislative committees (median = 12). In the Landtag and in virtually all national assemblies, committees either have the right to rewrite bills or to propose bill amendments which are considered and voted upon side-by-side with the original bill (see also Strøm 1995). This is similar to the findings by Zubek (2021) who documents that it is predominantly the Eastern European national assemblies who often lack rewriting authority.

In sum, while there is considerable variation in the design of legislative institutions, our case is comparable with most other European legislatures in terms of the committee design and rewriting authority which is crucial for the study of legislative review. The aspects where we observe deviations, such as the electoral system, place the *Landtag* closer to a least-likely case. Additionally, since we are dealing with a professionalized parliament composed of full-time politicians, the results generalize well to national-level legislatures, while keeping the advantages that stem from studying a sub-national case.

6mm am	$F_{nll}-tim_{\rho}$	Electoral sustem	$Number \ of$	$Committee \ meetings$	$Existence \ of$
	T. MAN-LUND		permanent committees	open to the public	subcommittees
Baden-Württemberg	Yes	MMS	16	Closed	Yes
Bayern	Yes	MMS	14	Open to public	Yes
Berlin	Yes	MMS	16	Open to public, except for petition committee and several subcommittees	Yes
Brandenburg	Yes	MMS	15	Open to public	Yes
Bremen	No	PR with open lists	18	NA	Yes
Hamburg	No	PR with open lists	26	Open to public, except for petition committee	Yes
Hessen	Yes	MMS	17	Closed	Yes
Mecklenburg-Vorpommern	Yes	MMS	6	Closed	NA
Niedersachsen	Yes	MMS	11	Open to public	Yes
Nordrhein-Westfalen	Yes	MMS	24	Open to public	Yes
Rheinland-Pfalz	Yes	MMS	18	NA	Yes
Saarland	Yes	MMS	13	NA	Yes
Sachsen	Yes	MMS	11	Closed	Yes
Sachsen-Anhalt	\mathbf{Yes}	MMS	12	Open to public	Yes
Schleswig-Holstein	Yes	MMS	×	Open to public, except for petition committee	Yes
Thüringen	Yes	MMS	12	Depends on decision of the respective committee	Yes

Table A1: The Landtag Baden-Württemberg in national comparison

Parliament (Lower House) Electoral system	Electoral system	Number of permanent committees (legislative by function/specialized/ non-legislative)	<pre>Dif legislative committees (Min/Avg./Max)</pre>	Meetings open to the public	Rewrite authority
Baden-Württemberg	MMS	16	22/22/22	Closed	Yes
Germany	MMS	0/19/2	13/28/42	Open to all MPs	Committees are free to rewrite
Austria	PR with preferential vote	0/17/8	11/21/33	Other rules	government exe Redraft of bill when substantial
					amendments are recommended
Belgium	PR with preferential vote	1/11/4	10/22/23	Other rules	Commutees are nee to rewrite government text
Denmark	PR with preferential vote	0/22/2	17/17/21	Open to certain MPs	House considers original bill with amendments added
Finland	PR with preferential vote	1/12/0	11/17/21	Closed	Committees are free to rewrite government fext.
France	Second ballot majority runoff	1/6/1	73/97/145	Open to all MPs	House considers original bill with amendments added
Greece	PR with preferential vote	0/6/2	19/40/55	Open to all MPs	If redrafted text is not accepted by the relevant minister, chamber considers the original bill
Iceland	NA	0/9/1	Up to 7	Closed	Committees are free to rewrite
Ireland	STV	0/0/3	11/19/20	Ad-hoc committees are open to public; permanent committees do not consider bills	House considers original bill with amendments added
Italy	Additional member system	0/13/6	25/43/48	Other rules	Committees are free to rewrite government text
Luxembourg	PR with vote-splitting	0/19/4	11/11/13	Closed	Committees may present substitute texts which are considered with the original text
The Netherlands	PR with closed lists	0/29/5	9/22/25	Open to public	House considers original bill with amendments added
Norway	NA	0/12/4	10/14/20	Closed	Committees are free to rewrite government text
Portugal	PR with closed lists	0/12/2	21/24/35	Open to all MPs. Open to the mass media when dealing with legislation. Meetings can be made	Committees may present substitute texts which are considered with the original text
Spain	Additional member system DB with alread lists	0/11/8	8/41/45 15/17/17	Open to the public by committee decision Open to all MPs and the mass media Chocod	Committees are free to rewrite government text
Switzerland	NA	0/10/1 0/12/0	11/19/20	Closed	Committees are free to rewrite government text
UK	Simple Majority Vote (FPTP)	4/0/19	11/19/20	Open to public	House considers original bill with amendments added

Table A2: The Landtag of Baden-Württemberg in international comparison

2 Associating different measures of political ambition

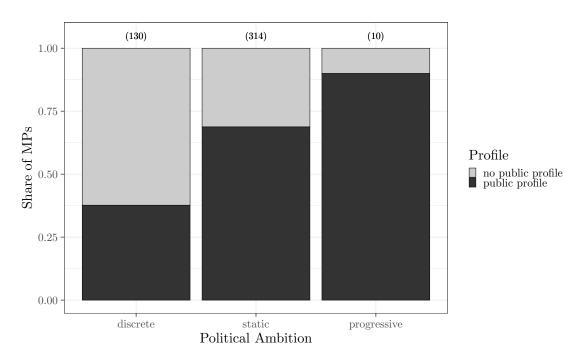


Figure A1: Legislators with and without a Professional Social Media Profile

Note: Presented are the shares of MPs who run a professional social media profile on Facebook or Twitter against their candidacy-based classification as exhibiting discrete, static and progressive ambition. The plot is created based on the term \times MP dataset and includes opposition and coalition MPs. Integers in parentheses report the absolute numbers of MPs per group.

Figure A1 relates MPs' social media accounts with the candidacy-based classification as exhibiting discrete, static and progressive ambition. The figure shows a strong association between our two measures of political ambition. Most MPs who are classified as ambitious using our candidacy-based measure receive the same classification based on our social media measure (Cramer's V= 0.30 [0.21; 0.39]¹). As both measures approach political ambition from different perspectives, the strong association between them provides evidence that they measure the same latent trait.

¹Reported is the 95% confidence interval based on 1,000 bootstrap samples.

3 Descriptives on Political Careers of Members of Parliament

Term	MPs	Higher Elected Office		Parliamentary Leadership	Party Group Leadership	Landtag Backbench	Dropout
14 (2006-2011)	154	1	16	12	7	51	67
15(2011-2016)	147	3	11	16	6	46	65
16 (2016-2021)	154	4	13	11	10	56	60

Table A3: Political Careers of MPs in the Landtag Baden-Württemberg

Table A3 describes the career paths of members of the Landtag Baden-Württemberg after the legislative term. 'Dropout' refers to MPs who did not continue their political career at the state-level or higher. 'Landtag Beckbanch' describes members who re-gained a mandate in the Landtag, but did not take on a leadership position. 'Higher elected office' includes mandates in the Bundestag and the European Parliament. 'Executive leadership' includes positions as head of government, minister, or junior minister. 'Parliamentary leadership' includes the parliamentary (vice-)presidency, parliamentary chairmen and standing committee chairs. 'Party group leadership' includes (co-)managers of party groups.

4 Investigating the nexus between policy- and vote-motivations and legislative review

4.1 Policy motivations

The paper argues that policy- and vote-motivations are unlikely to underlie the amendment behavior of MPs from non-government parties. Policy-motivations are unlikely as the success rates of opposition amendment proposals are close to zero and MPs are generally well aware of this fact.

However, the fact that opposition amendments are usually rejected by the parliamentary majority does not preclude that some MPs might use amendment proposals for policy motivations. Specifically, not all MPs might be aware of the dismal success rates of opposition amendments at the beginning of their terms in office. New MPs may believe that they can change policy, but become more realistic over the course of their time in office. Hence, if policy motivations are observed at all, these should be particularly prevalent among new MPs who are unfamiliar with legislative proceedings. In this section, we investigate this alternative individual-level explanation for legislative review. We report three empirical observations.

First, we provide a descriptive analysis contrasting the amendment behavior of new MPs (serving in their first term) and established legislators (see Figures A2 and A3). The descriptive patterns are similar for new and experienced MPs. If anything, new MPs are less, not more active.

Second, we mapped the number of submitted amendments for new MPs across the legislative term (see Figure A4). If MPs start out policy-motivated, but become disillusioned over the course of the legislative term, we should see a greater legislative review effort in the early stages of the legislative period which fades as the term goes on. The figure shows that this is not the case, casting doubt on the possible policy motivation of new MPs who start out unaware of legislative procedures.

Finally, we have explicitly incorporated the variable "First Term" in the statistical models M1-M4 reported in Table 4 in the main text. The coefficient is not statistically different from zero.

4.2 Vote motivations

We argued that vote-motivations are unlikely as amendment proposals are technical, usually not picked up by the media, and not visible to the electorate. However, MPs may believe that proposing amendments will get them more votes, even if amendments are practically invisible to the public. In this section, we investigate the possibility of vote motivations as an individual-level explanation for legislative review. Again, we report three empirical observations.

Regarding measurement, our main rationale is that it is straightforward to assume that vote motivations follow from the closeness of the electoral race of an MP. If vote seeking manifests in legislative review, we expect MPs from strongly contested districts to be more active in filing amendments than MPs who won their district by a large margin. Once more, we split the analysis into a descriptive analysis and explicitly incorporate the vote motivation into the models reported in the main text.

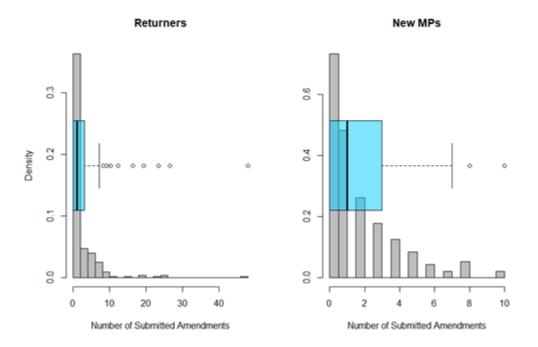


Figure A2: Descriptive analysis of legislative efforts for new vs. experienced MPs: Number of submitted amendments

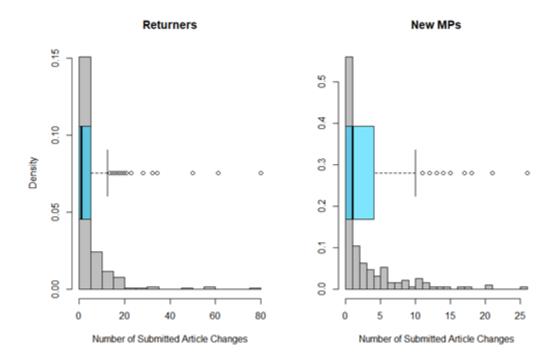


Figure A3: Descriptive analysis of legislative efforts for new vs. experienced MPs: Number of submitted article changes

Timing of Amendments for New MPs

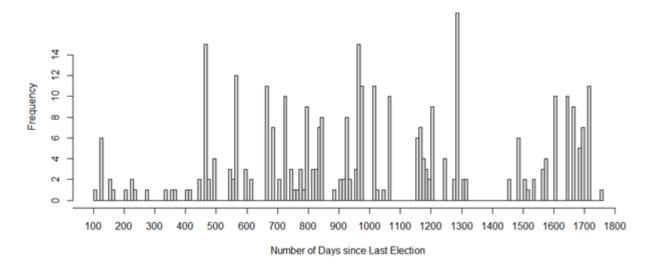
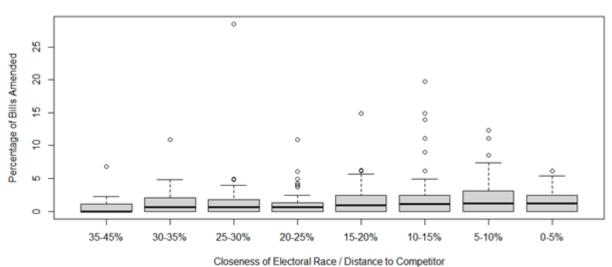


Figure A4: Frequency of submitted amendment proposals of first term MPs mapped across the legislative period

First, Figures A5 and A6 plot the absolute vote share distance between the district winners and the second-placed candidates against their efforts in legislative review. The patterns do not indicate a vote motivation that manifests in amendment behavior, as the extent of legislative review does not systematically vary by the closeness of the electoral race.

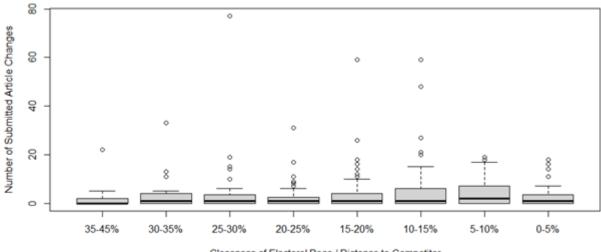
Second, we incorporate the variable "Closeness of the electoral race" plotted in Figures A5 and A6 into the statistical models M1-M4 reported in Table 4 in the main text. All coefficients remain non-significant, which we interpret as robust evidence against vote motivations underlying individual legislative review.

Third, we try out different dummy operationalizations of electoral closeness, binarily separating close districts from uncontested districts. We re-fit models M1-M4 as reported in Table 4 in the main text and create dummy variables separating uncontested districts from contested districts based on different thresholds, ranging from a 15 percentage point gap to a two percentage point gap as cut-off. We plot the coefficients for "Closeness of the Electoral Race" and their confidence intervals in Figure A7. By and large, the effects remain non-significant. MPs from uncontested and contested districts are similar in their amendment behavior, which speaks against vote motivations in legislative review by opposition MPs.



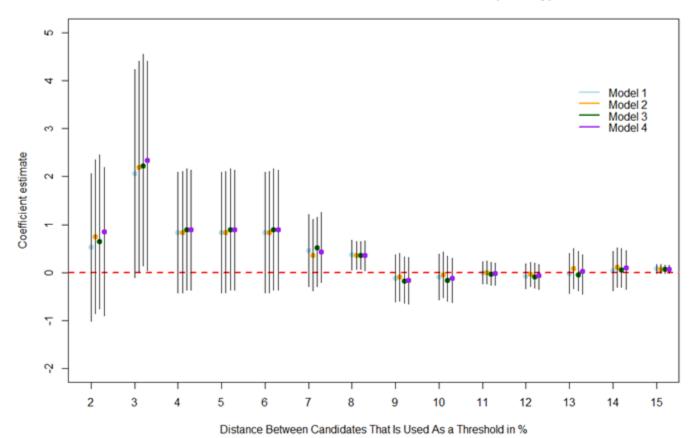
Absence of Vote-Seeking Behavior in Amendment Efforts

Figure A5: Absolute vote share distance between the district winners and the second-placed candidates versus percentage of bills amended



Closeness of Electoral Race / Distance to Competitor

Figure A6: Absolute vote share distance between the district winners and the second-placed candidates versus number of submitted article changes



Coefficient for Closeness of the Electoral Race (Dummy)

Figure A7: Closeness of the electoral race and opposition legislative review. Re-fitting models M1-M4 from Table 4 under different dummy operationalizations of "Closeness of the Electoral Race"

5 The relationship between candidacies and legislative review: Probing the causal mechanism

In the empirical analysis, one of the main measures of political ambition is constructed via candidacies. Drawing on Schlesinger's (1966) typology of discrete, static and progressive ambition, we measure political ambition via MP's candidacies separating those who do not get nominated for a political office at the end of the term (discrete ambition), those who aim to return to the *Landtag* (static ambition) and those who aim to rise to a higher-level parliament (progressive ambition).

The reselection of candidates by their local selectorates requires the ambition to put one's candidature forward, the anticipation that one will be reselected and the actual selection. We argue that our measure grasps the first among these as it is well-documented that the re-nomination of German MPs is not competitive. Reiser (2011) concludes that there was no intra-party challenger in 91.5% of the district nominations for the *Bundestag*. When considering data from the German Longitudinal Election Study, this figure is 74.77%. Moreover, Baumann, Debus and Klingelhöfer (2017) studied intra-party competition in the re-nomination of German *Bundestag* district candidates and conclude that "a contested renomination procedure is a relatively rare event for incumbent MPs who seek reelection: Across all parties only slightly more than 10% of MPS had to compete against one or more contenders." (986). In their case study of German MPs for state-level parliaments, the *Bundestag* and the European Parliament, Best, Jahr and Vogel (2011) reach the same conclusion. They outline how German parties try to recruit skilled and loyal representatives by minimizing the influence of selectorates on the duration of individual mandates and make MP careers as low-risk as possible (177). If incumbent MPs know that their renomination is secure, the anticipation to be reselected is undisputed.

There is concern that a causal-order problem might be underlying our measure of ambition through candidacies. We measure ambition throughout the term via candidacies at the end of the term. We then use this measure to predict efforts in legislative review. It may be that MPs who submit fewer amendments are less likely to be reselected or anticipate that they are less likely to be reselected. In that case their behavior leads to this outcome and the causal order would be reversed. Whether these challenges to our empirical measure are present in the case that we study is an empirical question. We investigate this question in the following and find robust evidence against a causal order problem.

As the re-nominations take place some time in the last year of the legislative term, we restrict our statistical models to bills that were introduced in the last year of the legislative term. In these cases, the renomination decisions have already taken place. For this last period, our measure of political ambition (candidatures) is by definition exogenous to our dependent variable, allowing us to check whether the effects are stable. Figure A8 visualizes the subsample of bills that this analysis is based on; Table A4 summarizes the re-estimation of models M1-M4. This drastically reduces our sample size which is why the full model including 17 covariates can no longer be estimated. Therefore, we present a model only including the candidacy measure alongside a simplified model.

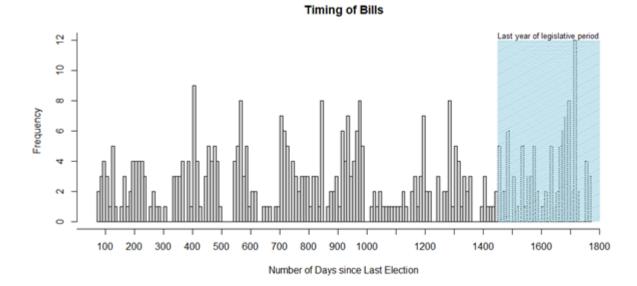


Figure A8: Visualization of the subsample for the re-estimation of models M1-M4

The magnitude and direction of all effects remains the same, with statistically significant results across various models and operationalizations. We interpret this as evidence for our measure of candidacies and against a causal order problem.

				Dependen	nt variable:			
		Bill Ame	endment		Ν	Number of Ar	ticle Changes	
	(1a)	(1b)	(2a)	(2b)	(3a)	(3b)	(4a)	(4b)
Main Independent Variables								
Progressive Ambition	2.295	0.857			3.647	1.314		
	(1.865)	(0.954)			(2.026)	(1.033)		
Static Ambition	0.739	0.805*			1.193	1.075**		
~	(0.560)	(0.395)		*	(0.631)	(0.414)		
Social Media Presence			0.324	0.506*			0.225	0.261
			(0.401)	(0.249)			(0.411)	(0.245)
MP-Level Controls								
Seniority		0.050^{**}		0.043^{*}		0.037		0.029
		(0.019)		(0.019)		(0.021)		(0.021)
First Term		-0.818^{*}		-0.880^{*}		-0.535		-0.522
		(0.401)		(0.401)		(0.376)		(0.378)
Committee Member		2.539^{***}		2.562^{***}		2.228^{***}		2.311^{***}
		(0.279)		(0.279)		(0.298)		(0.297)
Leadership Position		1.139***		1.141***		1.143**		1.202***
		(0.328)		(0.325)		(0.358)		(0.363)
Closeness of Electoral Race		0.081***		0.080***		0.067***		0.069***
		(0.016)		(0.016)		(0.016)		(0.016)
Bill-Level Controls								
Log (Party Group Size)		-0.320		-0.366^{*}		-0.247		-0.286
		(0.174)		(0.174)		(0.186)		(0.188)
Opposition Chair Committee		0.489		0.472		0.530		0.500
		(0.682)		(0.683)		(0.694)		(0.694)
Log(Number of Bills in Policy Field)		-0.116		-0.119		-0.171		-0.178
Log(Number of Bins in Foncy Field)		(0.441)		(0.443)		(0.447)		(0.448)
		(0.441)		(0.445)		(0.447)		(0.446)
Log(Bill Length)		0.862^{*}		0.854^{*}		1.017^{**}		1.017^{**}
		(0.376)		(0.378)		(0.383)		(0.384)
Constant	-6.676^{***}	-8.569^{***}	-6.219^{***}	-7.840^{***}	-10.030^{***}	-8.425^{***}	-6.120^{***}	-7.417^{***}
	(0.618)	(2.150)	(0.448)	(2.125)	(1.213)	(2.178)	(0.451)	(2.151)
$\overline{N \text{ (Bills } \times \text{ MPs)}}$	5,885	5,885	5,885	5,885	5,885	5,885	5,885	5,885
N (Bills)	96	96	96	96	96	96	96	96
N (MPs)	121	121	121	121	121	121	121	121

Table A4: Determinants of individual legislative review, last year of legislative term only

Note: Table presents unstandardized coefficients from cross-classified multilevel logistic and negative binomial regression models with random intercepts at the bill- and MP-level. Standard errors are reported in parentheses. *p < 0.05; **p < 0.01; ***p < 0.001.

References

- Appeldorn, Niels H. and David Fortunato. 2022. "Legislative Capacity in Germany's Parliaments." Legislative Studies Quarterly 47(2):309–328.
- Baumann, Markus, Marc Debus and Tristan Klingelhöfer. 2017. "Keeping One's Seat: The Competitiveness of Mp Renomination in Mixed-Member Electoral Systems." Journal of Politics 79(3):979– 994.
- Best, Heinrich, Stefan Jahr and Lars Vogel. 2011. Karrieremuster und Karrierekalküle Deutscher Parlamentarier. In *Politik als Beruf*, ed. Michael Edinger and Werner J. Patzelt. Wiesbaden: VS Verlag pp. 168–191.
- Borchert, Jens and Klaus Stolz. 2011. "Introduction: Political Careers in Multi-Level Systems." Regional and Federal Studies 21(2):107–115.
- Bundi, Pirmin, Daniela Eberli and Sarah Bütikofer. 2017. "Between Occupation and Politics: Legislative Professionalization in the Swiss Cantons." Swiss Political Science Review 23:1–20.
- Capua, Roberto Di, Andrea Pilotti, André Mach and Karim Lasseb. 2022. "Political professionalization and transformations of political career patterns in multi-level states: The case of Switzerland." *Regional and Federal Studies* 32:95–114.
- Moncrief, Gary F. 1994. "Professionalization and Careerism in Canadian Provincial Assemblies: Comparison to U. S. State Legislatures." *Legislative Studies Quarterly* 19:33–48.
- Reiser, Marion. 2011. Wer entscheidet unter welchen Bedingungen über die Nominierung von Kandidaten? Die innerparteilichen Selektionsprozesse zur Aufstellung in den Wahlkreisen. In Die Parteien nach der Bundestagswahl 2009, ed. Oskar Niedermayer. Wiesbaden: Srpinger VS Verlag für Sozialwissenschaften pp. 237–259.
- Schlesinger, Joesph A. 1966. *Ambition and Politics: Political Careers in the United States*. Chicago: Rand McNally.
- Strøm, K. 1995. Parliamentary Government and Legislative Organization. In Parliaments and Majority Rule in Western Europe, ed. Holger Döring. New York: St. Martin's Press pp. 51–82.
- Zubek, Radoslaw. 2021. "Committee Strength in Parliamentary Democracies: A New Index." European Journal of Political Research 60(4):1018–1031.